

04-12-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

8494

First Inventor

Michael Donovan Mitchell

Assignee

The Procter & Gamble Company

Title

FILTERS AND FILTER MATERIALS FOR
THE REMOVAL OF MICROORGANISMS
AND PROCESSES FOR USING THE SAME

Express Mail Label No.

EK823211000US

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, D.C. 202311. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)2. ☐ Applicant claims small entity status
(see 37 CFR §1.27)3. ☒ Specification Total Pages [30]
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC §113) Total Sheets [5]

5. Oath or Declaration Total pages [2]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 18 complete)
- i. ☐ **DELETION OF INVENTORS**
Signed statement attached deleting inventor(s)
named in the prior application,
see 37 CFR §§1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR §1.767. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS9. ☒ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐ Request and Certification under 35 U.S.C.
122(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent.17. ☐ Other:18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in the preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. /

Prior application information:

Examiner: _____

Group/Art Unit: _____

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

NAME	James C. Vago				
ADDRESS	The Procter & Gamble Company, Health Care Research Center (Box 1050) P.O. Box 8006				
CITY	Mason	STATE	OH	ZIP CODE	45040-8006
COUNTRY	USA	TELEPHONE		FAX	

Name (Print/Type)	James C. Vago	Registration No. (Attorney/Agent)	40,855
Signature		Date	April 11, 2001

+

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

8494apptx

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number

Filing Date

APRIL 11, 2001

First Named Inventor

MICHAEL DONOVAN MITCHELL

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT (\$ 2,222.00)

Attorney Docket No..

8494

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter & Gamble Company

☒ Charge Any Additional Fee ☐ Applicant claims small entity status. See 37 CFR §127 Required Under 37 C.F.R. §§1.16 and 1.17

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	[+]
106	320	206	160	Design filing fee	<input type="checkbox"/>
107	490	207	245	Plant filing fee	<input type="checkbox"/>
108	710	208	355	Reissue filing fee	<input type="checkbox"/>
114	150	214	75	Provisional filing fee	<input type="checkbox"/>
SUBTOTAL (1)					(\$)[710.00]

2. EXTRA CLAIM FEES

Fee From
Extra Claims Below Fee Paid

Total Claims [64] - 20** = [44] x [18] = [792]
Independent Claims [12] - 3** = [9] x [80] = [720]
Multiple Dependent ☐ = ☐

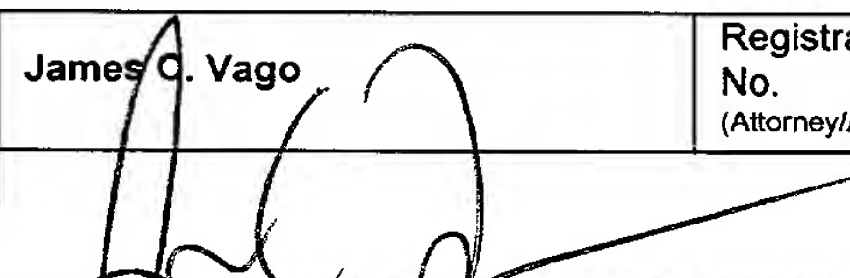
** or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)[1,512]**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	<input type="checkbox"/>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>
139	130	139	130	Non-English specification	<input type="checkbox"/>
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	<input type="checkbox"/>
112	920*	112	920*	Requesting publication of SIR prior to Examiner's action	<input type="checkbox"/>
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner's action	<input type="checkbox"/>
115	110	215	55	Extension for reply within 1 st month	<input type="checkbox"/>
116	390	216	195	Extension for reply within 2 nd month	<input type="checkbox"/>
117	890	217	445	Extension for reply within 3 rd month	<input type="checkbox"/>
118	1,390	218	695	Extension for reply within 4 th month	<input type="checkbox"/>
128	1,890	228	945	Extension for reply within 5 th month	<input type="checkbox"/>
119	310	219	155	Notice of Appeal	<input type="checkbox"/>
120	310	220	155	Filing a brief in support of an appeal	<input type="checkbox"/>
121	270	221	135	Request for oral hearing	<input type="checkbox"/>
138	1,510	138	1,510	Petition to institute a public use proceeding	<input type="checkbox"/>
140	110	240	55	Petition to revive - unavoidable	<input type="checkbox"/>
141	1,240	241	620	Petition to revive - unintentional	<input type="checkbox"/>
142	1,240	242	620	Utility issue fee (or reissue)	<input type="checkbox"/>
143	440	243	220	Design issue fee	<input type="checkbox"/>
144	600	244	300	Plant issue fee	<input type="checkbox"/>
122	130	122	130	Petitions to the Commissioner	<input type="checkbox"/>
123	50	123	50	Petitions related to provisional applications	<input type="checkbox"/>
126	240	126	240	Submission of IDS	<input type="checkbox"/>
581	40	581	40	Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>
149	710	249	355	For each additional invention to be examined (37 CFR §1.129(b))	<input type="checkbox"/>
179	710	279	355	Request for Continued Examination (RCE)	<input type="checkbox"/>
169	710	249	355	Request for expedited examination of a design application	<input type="checkbox"/>
Other fee (specify) _____					<input type="checkbox"/>
Other fee (specify) _____					<input type="checkbox"/>

* Reduced by Basic Filing Fee Paid **SUBTOTAL(3) (\$ [0.00]****SUBMITTED BY**

Name (Print/Type)	James C. Vago	Registration No. (Attorney/Agent)	40,855	Telephone	(513) 622-4433
Signature				Date	April 11, 2001

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